

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number 09/823,441 (Patent No. 6,859,207 B2)

Filing Date March 30, 2001

First Named Inventor Jean-Luc Nougaret et al.

Art Unit 2671

Examiner Name Pappas, Peter

Attorney Docket Number 72777 [SC162601]

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Request under 37 CFR 3.81(b); Certificate of Correction in duplicate; Request for Certificate of Correction transmittal letter; copy of Assignment; copy of Notice of Recordation; and Return Receipt Postcard.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Fitch, Even, Tabin & Flannery		
Signature			
Printed name	Richard E. Wawrzyniak, Esq.		
Date	10/17/05	Reg. No.	36,048

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Sara R. Hart	Date	10-17-05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

COFC



DOCKET NO.72777 [SC162601]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Jean-Luc Nougaret *et al.*)
)
Patent No.: 6,859,207 B2)
)
Group Art Unit: 2671)
)
Issued: February 22, 2005)
)
Examiner: Pappas, Peter)
)
For: METHOD AND APPARATUS)
FOR PRODUCING A)
COORDINATED GROUP)
ANIMATION BY MEANS OF)
OPTIMUM STATE FEEDBACK,)
AND ENTERTAINMENT)
APPARATUS USING THE SAME)

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Attn: Mail Stop PETITIONS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

10-17-05 Sara R. Hart
Date Sara R. Hart

REQUEST UNDER 37 C.F.R. 3.81(b)

Mail Stop PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the PTO's denial of Applicants' request for a Certificate of Correction mailed May 23, 2005, Applicants hereby request that a request under 37 CFR 3.81(b) be granted in order to correct Applicants' error in providing incorrect or erroneous assignment data, so that the enclosed Certificate of Correction, under 37 CFR 1.323 can be issued.

U.S. Patent No. 6,859,207 B2
Request

Applicants state the following:

- A. Please charge the processing fee set forth in 37 CFR 1.17(h) (currently \$130) to Deposit Account Number 06-1135 of Fitch, Even, Tabin & Flannery;
- B. The undersigned hereby states that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- C. A copy of the Notice of Recordation of Assignment Document reflecting the reel and frame number where the assignment is recorded and reflecting proof of the date the assignment was submitted for recordation is included herewith.


Applicants hereby request that the file be forwarded to the Certificate of Correction Branch for issuance of the enclosed Certificate of Correction if this Request is granted.

The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 06-1135 of Fitch, Even, Tabin & Flannery, Customer No. 22242.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

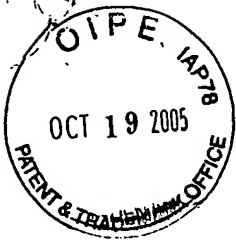
Dated: 10/17/05



Richard E. Wawrzyniak
Attorney for Applicant(s)
Reg. No. 36,048

Address all correspondence to:

Richard E. Wawrzyniak
FITCH, EVEN, TABIN & FLANNERY
Suite 1600-120 S. La Salle Street
Chicago, IL 60603
Telephone: (858)552-1311



DOCKET NO.72777 [SC162601]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Jean-Luc Nougaret *et al.*

Patent No.: 6,859,207 B2

Group Art Unit: 2671

Issued: February 22, 2005

Examiner: Pappas, Peter

For: METHOD AND APPARATUS
FOR PRODUCING A
COORDINATED GROUP
ANIMATION BY MEANS OF
OPTIMUM STATE FEEDBACK,
AND ENTERTAINMENT
APPARATUS USING THE SAME

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Attn: Mail Stop PETITIONS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

Date

Sara R. Hart

REQUEST FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 C.F.R. § 1.323

Mail Stop PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The patentee respectfully requests that a Certificate of Correction be issued for the above-referenced patent to correct the following errors:

ON THE FIRST PAGE OF THE PATENT (I.E., TITLE PAGE):

Title Page, in field (73) Assignee, please change "Assignee: Sony Computer Entertainment Inc., Tokyo (JP)" to -- Assignees: Sony Computer Entertainment Inc., Tokyo (JP); Sony Corporation, Tokyo (JP)--.

REMARKS

A duplicate copy of a Certificate of Correction (Form PTO/SB/44) incorporating all of the above changes is enclosed. The above correction is made to correct a mistake on the part of the Applicant and does not constitute new matter or require re-examination. Specifically, the above correction corrects the Assignee information as listed on the Assignment (a copy is enclosed herewith), and as well as the Notice of Recordation of Assignment Document (a copy is enclosed herewith). The error in the Assignee information is due to Applicant's inadvertent mistake in listing the Assignee information incorrectly on the Issue Fee transmittal.

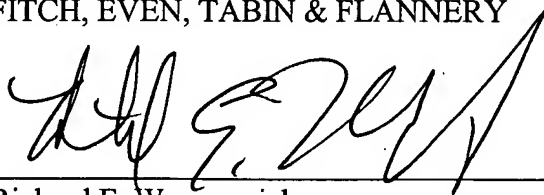
FEES

A \$100.00 fee for the Certificate of Correction as set forth in 37 CFR §1.20(a) was previously already paid and charged to our deposit account May 26, 2005. Therefore, it is Applicants' understanding that no additional fee is required. However, should any additional fees be required the Director is hereby authorized to charge any fees, or credit any overpayment, to Deposit Account Number 06-1135 of Fitch, Even, Tabin & Flannery, Customer No. 22242.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

Dated: 10/17/05



Richard E. Wawrzyniak
Attorney for Applicant(s)
Reg. No. 36,048

Address all correspondence to:

Richard E. Wawrzyniak
FITCH, EVEN, TABIN & FLANNERY
Suite 1600-120 S. La Salle Street
Chicago, IL 60603
Telephone: (858)552-1311

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 6,859,207 B2

DATED : February 22, 2005

INVENTOR(S) : Jean-Luc Nougaret et al.

It is certified that error appears in the above-identified patent and that said Letters Patent
is hereby corrected as shown below:

Title Page,


In field (73) Assignee, please change "Assignee: Sony Computer Entertainment Inc., Tokyo (JP)" to
--Assignees: Sony Computer Entertainment Inc., Tokyo (JP); Sony Corporation, Tokyo (JP)--.

MAILING ADDRESS OF SENDER:

Richard E. Wawrzyniak
Fitch, Even, Tabin & Flannery
120 S. La Salle Street, Suite 1600
Chicago, IL 60603

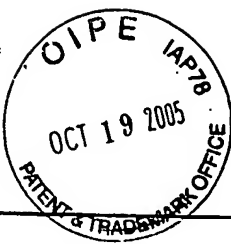
PATENT NO. 6,859,207 B2

No. of additional copies

 5

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Copy

DOCKETED

DEC 16 2003

BY: D-G.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 02, 2003

PTAS



102475644A

FITCH, EVEN ET AL.
RICHARD E. WAWYZYNIAK
SUITE 1600 120 S. LA SALLE STREET
CHICAGO, IL 60603

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/13/2003

REEL/FRAME: 014163/0942
NUMBER OF PAGES: 11

BRIEF: TO INCLUDE THE JOINT ASSIGNEE AND CORRECT ASSIGNEE'S NAME...

ASSIGNOR:

NOUGARET, JEAN-LUC

DOC DATE: 07/26/2001

ASSIGNOR:

TOTSUKA, TAKASHI

DOC DATE: 07/02/2001

ASSIGNOR:

HAGIWARA, KAORU

DOC DATE: 07/07/2001

ASSIGNEE:

SONY COMPUTER ENTERTAINMENT INC.
1-1, AKASAKA 7-CHOME
MINATO-KU
TOKYO 107-0052, JAPAN

ASSIGNEE:

SONY CORPORATION
7-35, KITASHINAGAWA

RECEIVED

DEC 17 2003

RECEIVED
SAN DIEGO

RECEIVED
FITCH
DEC 10 2003

6-CHOME, SHINAGAWA-KU
TOKYO, 141-0001, JAPAN

014163/0942 PAGE 2

SERIAL NUMBER: 09823441
PATENT NUMBER:

FILING DATE: 03/30/2001
ISSUE DATE:

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Copy

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in:

METHOD AND APPARATUS FOR PRODUCING A COORDINATED GROUP AMINATION BY MEANS OF OPTIMUM STATE FEEDBACK, AND ENTERTAINMENT APPARATUS USING THE SAME

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Computer Entertainment Inc. and Sony Corporation, Japanese corporations with offices at 1-1, Akasaka 7-chome, Minato-ku, Tokyo, Japan, and at 7-35, Kitashinagawa, 6-chome, Shinagawa-ku, Tokyo Japan (hereinafter referenced as ASSIGNEES) are desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property. Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignees of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEES thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the application number and filing date of this application in the spaces that follow: Application Number: 09/823,441, Filing Date: March 30, 2001.

This assignment executed on the dates indicated below.

Jean-Luc Nougaret

Name of First or Sole Inventor (筆頭発明者氏名)

Rennes Cedex France

Residence of First or Sole Inventor (筆頭発明者居住地)



Signature of First or Sole Inventor (筆頭発明者署名)

July 26th 2001

Date of this Assignment (本譲渡証署名日)

Takashi TOTSUKA

Name of Second Inventor (第二発明者氏名)

CHIBA, JAPAN

Residence of Second Inventor (第二発明者居住地)

Takashi Totsuka

Signature of Second Inventor (第二発明者署名)

Jul 2, 2001

Date of this Assignment (本譲渡証署名日)

Kaoru HAGIWARA

Name of Third Inventor (第三発明者氏名)

Kanagawa, Japan

Residence of Third Inventor (第三発明者居住地)

Kaoru Hagiwara

Signature of Third Inventor (第三発明者署名)

Jul 7, 2001

Date of this Assignment (本譲渡証署名日)

Name of Fourth Inventor (第四発明者氏名)

Signature of Fourth Inventor (第四発明者署名)

Residence of Fourth Inventor (第四発明者居住地)

Date of this Assignment (本譲渡証署名日)

Name of Fifth Inventor (第五発明者氏名)

Signature of Fifth Inventor (第五発明者署名)

Residence of Fifth Inventor (第五発明者居住地)

Date of this Assignment (本譲渡証署名日)

Name of Sixth Inventor (第六発明者氏名)

Signature of Sixth Inventor (第六発明者署名)

Residence of Sixth Inventor (第六発明者居住地)

Date of this Assignment (本譲渡証署名日)